

06-12-02

CPA/1600B

Please type a plus sign (+) inside this box → ☐

PTO/SB/29 (12/97) (modified)
Approved for use through 09/30/00. OMB 0651-0032
Patent and Trademark Office. U.S. DEPARTMENT OF COMMERCE

OIP

CONTINUED PROSECUTION APPLICATION (CPA) REQUEST TRANSMITTAL

JUN 10 2002

Submit an original, and a duplicate for fee processing.
(Only for continuation or Divisional applications under 37 CFR 1.53(d))

CHECK BOX, if applicable:

☐ DUPLICATE

ADDRESS TO:

Assistant Commissioner for Patents
Box CPA
Washington, DC 20231

Attorney Docket No.

226272002201

First Named Inventor

Leaf HUANG

Express Mail Label No.

EL334457985US

Total Pages

19 pages + 5 references

RECEIVED

JUN 14 2002

TECH CENTER 1600 2900

This is a request for a ☒ continuation or ☐ divisional application under 37 CFR 1.53(d),
(continued prosecution application (CPA)) of prior application number 09/376,395,
filed on August 18, 1999, entitled STABLE LIPID-COMPRISING DRUG DELIVERY COMPLEXES AND METHODS
FOR THEIR PRODUCTION.

NOTES

FILING QUALIFICATIONS: The prior application identified above must be a nonprovisional application that is either:
(1) complete as defined by 37 CFR 1.51(b), 1995, or (2) the national stage of an international application in compliance with
35 U.S.C. 371.

C-I-P NOT PERMITTED: A continuation-in-part application cannot be filed as a CPA under 37 CFR 1.53(d), but must be
filed under CFR 37 1.53(b).

EXPRESS ABANDONMENT OF PRIOR APPLICATION: The filing of this CPA is a request to expressly abandon the prior
application as of the filing date of the request for a CPA. 37 CFR 1.53(b) must be used to file a continuation, divisional, or
continuation-in-part of an application that is not to be abandoned.

ACCESS TO PRIOR APPLICATION: The filing of this CPA will be construed to include a waiver of confidentiality by the
applicant under 35 U.S.C. 122 to the extent that any member of the public who is entitled under the provisions of 37 CFR
1.14 to access to, copies of, or information concerning, the prior application may be given similar access to, copies of, or
similar information concerning, the other application or applications in the file jacket.

35 U.S.C. 120 STATEMENT: In a CPA, no reference to the prior application is needed in the first sentence of the
specification and none should be submitted. If a sentence referencing the prior application is submitted, it will not be
entered. A request for a CPA is the specific reference required by 35 U.S.C. 120 and to every application assigned the
application number identified in such request, 37 CFR 1.78(a).

1. ☐ Enter the unentered amendment previously filed on under 37 CFR 1.116 in the prior nonprovisional application.
2. ☒ A preliminary amendment (9 pages) is enclosed.
3. ☐ This application is filed by fewer than all the inventors named in the prior application, 37 CFR 1.53(d)(4).
 - a. ☐ **DELETE** the following inventor(s) named in the prior nonprovisional application:
.....
 - b. ☐ The inventor(s) to be deleted are set forth on a separate sheet attached hereto.
4. ☐ A new power of attorney or authorization of agent (PTO/SB/81) is enclosed.
5. ☒ Information Disclosure Statement (IDS) (3 pages) is enclosed:
 - a. ☒ PTO-1449 + copy (2 pages)
 - b. ☒ Copies of IDS Citations (5 references)

CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
	TOTAL CLAIMS (37 CFR 1.16(c))	75-20 =	55	X \$18.00 =	\$990.00
	INDEPENDENT CLAIMS (37 CFR 1.16(b))	3-3 =	0	X \$84.00 =	\$0
	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d))			+ \$280.00 =	\$0
				BASIC FEE (37 CFR 1.16(a))	\$740.00
				Total of above Calculations =	\$1,730.00
	Reduction by 50% for filing by small entity (Note 37 CFR 1.9, 1.27, 1.28).				\$865.00
	TOTAL =				\$865.00

6. Small entity status:

- a. ☐ A small entity statement is enclosed.
- b. ☒ A small entity statement was filed in the prior nonprovisional application and such status is still proper and desired.
- c. ☐ Is no longer claimed.

7. The Commissioner is hereby authorized to credit overpayments or charge the following fees to **Deposit Account No. 03-1952** (reference docket no. 226272002201). **Fee Transmittal form (PTO/SB/17) is attached to this submission in duplicate.**

- a. ☒ Fees required under 37 CFR 1.16.
- b. ☒ Fees required under 37 CFR 1.17.
- c. ☐ Fees required under 37 CFR 1.18.

8. ☐ A check in the amount of \$_____ is enclosed.

9. ☐ Other: Fee Transmittal, in duplicate (2 pages); Petition for Extension of Time (1 page); Return Receipt Postcard.

NOTE:

*The prior application's correspondence address will carry over to this CPA
UNLESS a new correspondence address is provided below.*

10. NEW CORRESPONDENCE ADDRESS

Kimberly A. Bolin
Registration No. 44,546

Morrison & Foerster LLP
755 Page Mill Road
Palo Alto, California 94304-1018
Telephone: (650) 813-5740
Facsimile: (650) 494-0792

Dated: June 10, 2002

Respectfully submitted,

By:

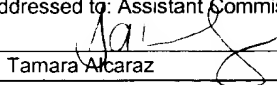

Kimberly A. Bolin

CERTIFICATE OF MAILING BY "EXPRESS MAIL"

Express Mail Label No.: EL334457985US

Date of Deposit: June 10, 2002

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.


Tamara Alcaraz